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EL897831513US

CERTIFICATION UNDER 37 CFR 1.10

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Date

Bonnie Ferguson  
Signature of Person Making Deposit  
Bonnie S. Ferguson  
Printed Name of Person Making Deposit

Applicant: Devries et al

Title: METHOD AND COMPOSITIONS FOR TREATMENT OF OCULAR  
NEOVASCULARIZATION AND NEURAL INJURY  
Allergan Docket: 17400 (BAR)

Enclosed Are:

Certification Under 37 CFR 1.10 (Express Mail Label No.  
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1. POSTCARD
2. CERTIFICATE OF MAILING BY EXPRESS MAIL
3. INFORMATION DISCLOSURE STATEMENT
4. PTO 1449 AND REFERENCES

7

17400(BAR)



#2

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** DeVries et al.

Group Art Unit: Not yet assigned

**Serial No.:** 10/020541

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (Label No. EL897831513US) in an envelope addressed to: Box IDS-Non-Fee, Commissioner for Patents, Washington, D.C. 20231 on:

**Filed:** Herewith

Date of Deposit: 1/4/2002

**For:** Methods and Compositions  
for Treatment of Ocular Neo-  
Vascularization and Neural Injury

Person making Deposit: BONNIE FERGUSON  
Signature: Bonnie Ferguson  
Date of Signature: 1/4/2002

**Examiner:** Not yet assigned

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant herewith submits forms PTO 1449 for consideration by the Examiner, consistent with the provisions of 37 CFR § 1.97 and 1.98. By submitting this Information Disclosure Statement, Applicant makes no admission that any item listed thereupon is material to the patentability of the invention claimed in the above-entitled patent application. Further, Applicant makes no assertion hereby that a search was conducted, or if conducted, that any search was thorough.

Applicant respectfully requests that the Examiner indicate consideration of the presently cited references by returning the enclosed Form 1449 bearing the Examiner's initials and the date considered.

As this Information Disclosure Statement is being submitted prior to three months after the filing date of this Application, no fee or certification is thought to be required, pursuant to 37 CFR §1.97(b). If Applicant is in error in this regard, please use Deposit Account 01-0885 for payment of any fee that may be due.

Respectfully submitted,


Date:

1/4/02



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**LIST OF REFERENCES CITED BY APPLICANT**

<b>ATTY. DOCKET:</b> 17400(BAR)		<b>SERIAL NO.:</b> 10/020,541
<b>APPLICANT:</b> DeVries et al		<b>TITLE:</b> METHODS AND COMPOSITIONS FOR TREATMENT OF OCULAR NEOVASCULARIZATION AND NEURAL INJURY
<b>FILING DATE:</b> October 30, 2001		<b>GROUP:</b> Not Assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (if applicable)
	AA	5,756,541	5/1998	Strong et al			
	AB	5,958,875	9/1999	Longo et al			
	AC	6,100,254	8/2000	Budde et al			
	AD	5,443,505	8/1995	Wong et al			
	AE	5,824,072	10/1998	Wong			
	AF	5,766,242	6/1998	Wong et al			
	AG	4,853,224	8/1989	Wong			
	AH	4,997,652	3/1991	Wong			
	AI	5,164,188	11/1992	Wong			
	AJ	5,632,984	5/1997	Wong et al			
	AK	5,869,079	2/1999	Wong et al			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (yes/no)
	AH						

**OTHER REFERENCES**

(Including Author, Title, Date, Pertinent Pages, etc.)

	AI	Reinke et al, "Verteporfin Photodynamic Therapy Retreatment of Normal Retina and Choroid in the Cynomologus Monkey", Ophthalmology 106: 1915-1923 (October 1999)
	AJ	Tombran-Tink et al, "PEDF: A Pigment Epithelium-derived Factor with Potent Neuronal Differentiative Activity", Exp. Eye Res. 53: 411-414 (1991)
	AK	Dawson et al, "Pigment Epithelium-Derived Factor: A Potent Inhibitor of Angiogenesis", Science 285:245-248 (9 July 1999)
	AL	Becerra et al, "Pigment Epithelium-derived Factor Behaves Like a Noninhibitory Serpin", J. Biol. Chem. 270:25992-25999 (1995)
	AM	Lambiase et al, "Nerve growth factor delays retinal degeneration in C3H mice", Graefe's Arch. Clin. Exp. Ophthalmol. 234:S96-S100 (1996)
	AN	Cayouette et al, "Intraocular Gene Transfer of Ciliary Neurotrophic Factor Prevents Death and Increases Responsiveness of Rod Photoreceptors in the retinal degeneration slow mouse", J. Neurosci. 18:9282-9293 (1998)



**LIST OF REFERENCES CITED BY APPLICANT**

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<b>APPLICANT:</b> DeVries et al	<b>TITLE:</b> METHODS AND COMPOSITIONS FOR TREATMENT OF OCULAR NEOVASCULARIZATION AND NEURAL INJURY
<b>FILING DATE:</b> October 30, 2001	<b>GROUP:</b>

**OTHER REFERENCES**

(Including Author, Title, Date, Pertinent Pages, etc.)

	AO	DiPolo et al, "Prolonged delivery of brain-derived neurotrophic factor by adenovirus-infected Muller cells temporarily rescues injured retinal ganglion cells", Proc. Natl. Acad. Sci. 95:3978-3983 (1998)
	AP	Chan et al, "Apoptosis in Patients With Posterior Uveitis", Arch Ophthalmol 1997; 115:1559-1567.
	AQ	Buggage et al, ."Apoptosis in cytomegalovirus retinitis associated with AIDS", Curr Eye Res 2000;21:721-729.

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.